

PROPOSED MODIFICATIONS IN  
ORDINANCES and REGULATIONS FOR THE DOCTORAL PROGRAMME  
for presentation in the  
11<sup>th</sup> Senate Meeting  
Scheduled on 29<sup>th</sup> August 2020

SCHOOL OF PLANNING AND ARCHITECTURE BHOPAL

The Ordinances for Doctoral Programmes (amended) 2018 have been reviewed considering the Proposed UGC (minimum standards and procedures for award of M. Phil/PhD degrees) Regulations, 2019, which are now being re-circulated considering the implications of the New Education Policy, 2020. Some existing gaps in the existing Ordinances of SPA Bhopal observed during implementation have also been reviewed. The main points of modification are summarised.

The existing document (amended 2018) is proposed to be replaced with the Ordinance and Regulations for Doctoral Programmes (Amended) 2020 incorporating the proposed modifications and merging with the existing unchanged clauses.

The Ordinances and Regulations of the Doctoral Programme (Amended) 2020 shall be applicable from the academic session of July 2020 for all currently registered candidates. Any clauses of the Ordinance of 2018 applicable to specific cases shall be mentioned under relevant sections.

**For discussion and approval of Senate.**

The Amendments in the Doctoral Ordinances in 2020 aim to broaden the scope to the PhD programmes offered by the Departments and act as a more enabling regulatory framework to foster a higher level of academic rigour and excellence by:

- Incorporating the objectives of the National Education Policy 2020 to further imbibe the spirit of flexibility and interdisciplinary research in the Doctoral Programme of SPA Bhopal.
- Incorporating relevant provisions of the Proposed UGC (minimum standards and procedures for award of M. Phil/PhD degrees) Regulations, 2019 and the existing regulations of 2016, aiming to raise the quality of doctoral research further at SPA Bhopal.
- Fostering inter institute Doctoral research through exchange programmes and research projects, adopting a credit exchange based curriculum supported by the Credit Transfer Policy 2020, of SPA Bhopal.
- Elaborating and modifying some clauses in the existing ordinances to incorporate academic requirements and conditions unaddressed earlier.

Sl. No.	PROPOSED LIST OF CONTENTS
I.	Preamble
II.	Definitions
III.	Ordinance
IV.	Regulations
1.	Eligibility for Admission to PhD Programme
2.	Categories of Admissions
3.	Duration of the Programme
4.	Procedure of Admission to the PhD Programme
5.	Registration and Cancellation of PhD Registration
6.	Guidelines for Availing Scholarship / Fellowship
7.	Departmental Research Committee (DRC) and its Functions
8.	Doctoral Advisory Committee (DAC) and its functions
9.	Conduct of Coursework
10.	Allocation of Doctoral Advisor
11.	Stages and timeline of Doctoral Research
12.	Evaluation and Assessment
13.	Conferment of PhD Degree
14.	Leave Admissible
15.	Legal and other Matters

## 1. Eligibility for Admission to PhD Programme

### Eligibility for Application:

Sl. No.	Clause in Existing Ordinance	Draft UGC Regulations 2019	Proposed in Amended Ordinances 2020, SPA Bhopal
1.1	Has qualified for the award of Masters degree in various specialization of Architecture or Planning or allied subjects with 60% marks (55% for reserved categories) or equivalent grade.	Focus is on Interdisciplinary Research.  Minimum 55% marks (50% for reserved categories) for Post Graduates	To widen the scope of the programme and include a wide range of disciplines, it is proposed that in addition to the Masters in Architecture and Planning, to include M.Tech/M.A./ M.Sc/ M.Phil in allied specialisations relevant to the Department's interdisciplinary approach, with a <b>minimum of 60% marks or 6.5 CGPA (55% OR 6 CGPA for reserved categories). Diploma degrees not accepted. DRC's to make lists of appropriate qualifications to be approved by Chairman Senate and validated at the commencement of each academic year before advertisement for admissions.</b> e.g. Department of Conservation: i. M.A in History/ Cultural studies/ Heritage studies/ Anthropology or equivalent. ii. M.A/ M. Sc in Museology/ Archeology or equivalent, iii. 5 year integrated Degree in Law with specialization in Heritage Law. Department of Environmental Planning i. M.A. / M.Sc. in Geography/ Economics ii. M.Sc. Environmental Science iii. 5 year integrated Degree in Law with specialization in Environmental Law.

1.2	Has qualified for the award of Bachelors degree of a recognized institution or university in Architecture or Planning with 75% marks (70% for reserved categories) or equivalent grade.	Minimum 75% marks (70% for reserved categories) for Graduates	In addition to Bachelor's in Architecture and Planning, it is proposed to include <b>B.E/ B. Tech</b> in allied specialisations relevant to the Department's interdisciplinary approach with (i) Minimum marks criteria of 75% (70% for reserved categories). and (ii) exemplary professional work /research in allied fields for <b>10 years</b> after obtaining Bachelors degree. Including Senior/Retired professionals who have served in Govt/Private /Defence Sector. Diploma Degrees not accepted.
	Has professional / research experience for a minimum period of <b>five years</b> after obtaining the Bachelors degree.	No mention of this clause. Appropriate guidelines may be framed for retired professionals with appropriate expertise, who have served in Govt/Private/Defence Sector .	<b>DRC's to make lists of appropriate qualifications to be approved by Chairman Senate and validated at the commencement of each academic year before advertisement for admissions.</b>
1.3	Foreign Nationals with foreign degrees must meet the minimum educational requirements as given in RG 2. SPAB reserves the right to ask for valid scores in GRE or equivalent and TOEFL/ IELTS/ PTE, if warranted.	Equivalent degree from a Foreign University accredited by an assessment and accreditation agency recognised by law in the foreign country, for assuring quality and standards of educational institutions.	<b>For Foreign nationals /Foreign Degrees :</b> 1. Minimum qualifications stated in 1.1-1.3 applicable with equivalence established <b>from a Foreign University and programme (UGC approved only)</b> accredited by an assessment and accreditation agency recognised by law in the foreign country, for assuring quality and standards of educational institutions. 2. Valid scores in GRE or equivalent and TOEFL/ IELTS/ PTE for proficiency in English Language. 3. <b>Diploma Degrees not accepted.</b>

Shortlisted Candidates must appear in the PhD Entrance Examination and Interview conducted by SPA Bhopal for consideration of selection.

Format of PhD Entrance Examination to be conducted by SPA Bhopal:

Sl. No.	Clause in Existing Ordinance	Draft UGC Regulations 2019	Proposed in Amended Ordinances 2020, SPA Bhopal
1.5	<p>Examination to be conducted by PhD Admission committee notified by Senate Chairman each year with Dean (A) as Chairman.</p> <p><b>Part A:</b> Written Examination common to all candidates for 50 marks</p> <p><b>No qualifying marks. All candidates qualify for Part B.</b></p> <p><b>Part B:</b> Viva voce based on short presentation on research idea to Admission Committee.</p> <p>Composite marks taken for preparing merit list. Weightage not specified.</p>	<p>Part 1: 50 marks</p> <ul style="list-style-type: none"> <li>• 50% questions on general research aptitude</li> <li>• 50% questions subject specific</li> </ul> <p><b>50% is the qualifying marks and 45% for reserved candidates,</b></p> <p><b>50 marks for interview/ viva voce to a Departmental Research Committee.</b></p> <p><b>50% weightage to written exam and 50% weightage to viva voce</b></p>	<p>Examination and Viva-voce to be conducted by PhD Admission committee each year headed by Senate Chairman with DRC Chairpersons of all DRC's, Dean A and two external members, as members.</p> <p><b>Part A: Written Examination</b></p> <ul style="list-style-type: none"> <li>• <b>50% questions on general research aptitude</b></li> <li>• <b>50% questions subject specific</b></li> </ul> <p><b>Qualifying marks: The candidates will be shortlisted based on merit rank in the written exam, with the list including candidates double the number of seats to be filled. However marks will not be lower than 50% and 45% for reserved candidates.</b></p> <p><b>Part B:</b> Viva voce based on short presentation on research idea to Admission Committee.</p> <p><b>50% weightage to written exam and 50% weightage to viva voce.</b></p> <p>Apart from academic aptitude, candidate's research area aligning with SPA Bhopal's research areas would also be considered while selecting a candidate. Full time and project funded PhD project proposals encouraged.</p>

## 2. Categories of Admissions

Sl. No.	Clause in Existing Ordinance	Proposed in Amended Ordinances 2020, SPA Bhopal
2.1	<b>9 categories of candidates are mentioned Rg 3 a)- i)</b>	<b>2 Simplified categories proposed as follows:</b>
	No clear distinction between full time and part time scholars, the two categories currently followed and have implications on duration, residency etc.	<b>Full-time PhD scholars</b> for whom <b>residency for the complete duration of PhD programme is mandated</b> and they are required to undertake other academic duties including engaging classes, for a maximum of 8 hours per week.
		These shall include: <ul style="list-style-type: none"> <li>I. <b>Candidates with various Government, quasi government and other institutional fellowships and scholarships, including GATE Scholarship as admissible.</b></li> <li>II. <b>Candidates applying in funded research projects having the provision of enrolling PhD scholars.</b></li> <li>III. <b>Self sponsored candidates</b></li> </ul>
		<b>Part time scholars</b> for whom residency is mandated for the duration of coursework and till Thesis topic is finalised, <b>for a maximum period of 3 consecutive semesters.</b> They are required to undertake other academic duties including engaging classes during this duration.
		These shall include: <ul style="list-style-type: none"> <li>I. <b>Self sponsored/industry/institution sponsored candidates continuing to be in service</b></li> <li>II. <b>Candidates on exchange programmes for part duration</b></li> </ul>

		<b>III. Senior in-service/ Retired personnel with appropriate experience having served in Govt./Private/Defence sector</b>
	Option of shifting from full time to part time is simple with an application to DRC Chairman.	<p><b>UGC Guidelines do not distinguish between part time and full time candidates.</b></p> <p><b>Enrolling of Full time candidates would be encouraged.</b></p> <p><b>Once admitted as Full time, a shift to Part time category shall not be permitted in the last two years from completion.</b></p> <p>In exceptional cases recommended by DRC Chairman through Dean (A) and approved by Senate Chairman, to be ratified in Senate, a change from full time to part time shall be considered only for candidates listed in (III) above. For candidates under (I) and (II), it would be subject to conditions laid down by funding/sponsoring agency.</p>

### 3. Duration of Programme

Sl. No.	Clause in Existing Ordinance	Draft UGC Regulations	Proposed in Amended Ordinances 2020, SPA Bhopal
3.1	For a full time PhD scholar: maximum period of <b>six years from the date of registration.</b>	PhD programme shall be a minimum of 3 years including coursework and a maximum of six years	<p><b>No distinction in total duration for full time and part time candidates proposed.</b></p> <p>Maximum duration of <b>5 years</b> with a provision of <b>extension of 1 additional year</b> for unforeseen exigencies. <b>No extensions beyond 6 years shall be permissible and they shall not be allowed to re-register automatically in SPA Bhopal with the same topic.</b></p>

3.2	For a part time PhD scholar: <b>a maximum period of seven years from the date of registration.</b>		<b>Minimum duration</b> of completion of programme from date of registration to final submission: <b>3 years</b>  <b>Maximum duration</b> of completion of programme from date of registration to final submission: <b>5 years *</b>
3.3	Minimum duration: <b>two years from the date of topic finalization.</b>		<b>Minimum duration of coursework and topic finalisation: 1 year (2 consecutive semesters)</b>  <b>Maximum duration of completion of coursework and topic finalisation: 1 year 6 months (3 consecutive semesters)</b>
3.4	Leaves as given in section Regulation 29		<b>Long Leaves and Permissible Breaks:</b> i. No breaks are permissible during the duration of coursework. ii. Maternity and Paternity Breaks permissible <b>only once</b> during the programme period, for duration as per rules prevalent. iii. After Topic Finalisation, a candidate may take <b>a break of maximum of 2 consecutive semesters</b> after taking due approval from DRC, duly approved by Senate Chairman. iv. <b>Maximum duration of the PhD course shall remain unchanged without adding break period.</b>

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- i. *Proposed to be implemented for candidates from 2020 onwards.*
- ii. *For candidates **registered in 2018 and 2019**, whose topic finalisation seminar is not yet conducted, **a maximum duration of 6 years** shall be considered, **regardless of full time and part time.***
- iii. *For candidates registered **before 2018**, **Regulation 23 of Ordinances of 2018** shall hold good in this regard apart from the clause of 'excluding withdrawal period', which shall be deleted from (a) and (b).*

#### 4. Procedure of Admission

Sl. No.	Clause in Existing Ordinance	Draft UGC Regulations 2019	Proposed in Amended Ordinances 2020, SPA Bhopal
4.1	No clause on finalizing annual number of PhD scholars to be admitted	Institutes shall on an annual basis through their academic bodies decide a predetermined and manageable number of PhD scholars depending on available research supervisors and other academic facilities.	At the end of each Academic session, <b>number of vacancies created by passed out PhD scholars and assessment of quota of eligible Advisors shall be undertaken.</b>  Departments to undertake this exercise and submit proposed number of candidates for upcoming semester feasible in the Department, to Senate Chairman through Dean (A).  Total numbers proposed will be restricted by availability of Advisors with appropriate eligibility.
4.2	No clause on Department wise distribution of seats.  No clause on reservation policy for selected candidates.	Subject/Discipline wise distribution of seats following policy of reservation to be declared in advertisement and applications to be invited Department wise.	Based on numbers received from all Departments, Senate Chairman to finalise the <b>final number of seats to be declared open for upcoming session distributed in Departments and across open and reserved Categories</b> , conforming with institute-level numbers to be accommodated.
4.3	Department choice absent in Application Form and Registration Form though Ordinance requires choice of Department by candidate at the time of admission.		<b>Candidate to indicate preference of Department at the time of Application.</b> Application Form and Registration to reflect the same. <b>Registration to be done in selected Department</b> for selected candidates.

## 7. Departmental Research Committee (DRC) and Doctoral Advisory Committee (DAC)

The existing Ordinance for Doctoral Programme (Amended) 2018, has the provision of 3 committees:

- i. Departmental Research Committee (DRC) : for each Department
- ii. Scholar Research Review Committee (SRRC) : for each PhD scholar
- iii. Doctoral Advisory Committee (DAC): Institute level body

Since 2018, the DRC of each Department has been the only active body reviewing the Doctoral scholars' work periodically. The other two committees have not been constituted. While the SRRC as a format exists in some institutions and is a prevalent format, it has difficulties in operationalising due to concerns of maintaining parity of outcome and ensuring quality across candidates. The existence of both SRRC and DRC is usually not common.

**This has been discussed at the institute level from 2018, and SRRC as a committee has been removed. The same is reflected in the proposed amended Ordinances and regulations 2020.**

**DRC:** Term of DRC shall be 2 years. If the need for a specialist is felt in any case, who is not available in an existing DRC, a provision of a special invitee may be included, beyond the regular composition of the DRC, including past members of DRC.

**The DAC is proposed to be retained. To be operationalised with immediate effect.** Term of committee shall be 2 years. The DAC shall be headed by Senate Chairman with DRC Chairpersons of all DRC's, Dean A, Doctoral Programme Coordinator and two external members, nominated by Chairman, Senate.

Functions of DAC shall be as follows:

- i. DAC shall recommend amendments to the PhD Regulations from time to time.
- ii. DAC shall facilitate inter departmental coordination in conducting the PhD programme, including appointment of co-Advisors from across Departments.
- iii. DAC shall examine and facilitate change of Department if so requested by a PhD Scholar
- iv. DAC shall examine and facilitate cases of transfer of candidates to other institutes, if applied for. and selection of candidates for exchange programme based on the Credit Transfer Policy 2020.
- v. DAC shall constitute 2 member review committees along with Advisor/s to review the final Thesis Report Report before sending for external evaluation.

## 10. Allocation of Doctoral Advisor

Sl. No.	Clause in Existing Ordinance	Draft UGC Regulations	Proposed in Amended Ordinances 2020, SPA Bhopal
4.1	<p>Eligibility criteria of PhD Supervisor/Co-supervisor:  <b>Any permanent fulltime faculty of SPAB, holding a PhD and having expertise in the proposed area of research of the respective PhD scholar.</b>            Minimum years of experience required:  <b>Supervisor:</b>  <b>two years</b> from the date of award of PhD degree  <b>Co-supervisor:</b>  <b>one year</b> from the date of award of PhD degree.</p>	<p><b>Professors/Associate Professors with at least 5 research publications in standard referred journals/book chapters.</b></p> <p><b>Assistant Professor with a PhD degree and at least 3 research publications in standard referred journals/book chapters.</b></p> <p><b>Adjunct faculty not permitted.</b></p> <p><b>Co-supervisors from other Departments and sister institutes permitted.</b></p> <p><b>In formal institutional collaborations through MoUs, supervisors from partner institutes may be permitted.</b></p>	<p><b>Advisor and Co-Advisor:</b> Any permanent fulltime faculty of SPAB, holding a PhD <b>and having 3-5 research publications in (i) indexed/with impact factor journals and (ii) book chapters in leading reputed publications of international level and (iii) having expertise in the proposed area of research of the respective PhD candidate.</b></p> <p>i. <b>Co-Advisors from partner institutes with whom formal institutional collaborations through MoUs on Research have been undertaken.</b></p> <p>ii. <b>Co-Advisors from other institutes of repute of national and international level.</b></p> <p>iii. <b>Co-advisors from reputed professionals with a minimum of 5 years of experience post-PhD.</b></p> <p>Advisors/Co-Advisors to be allocated by the beginning of Semester 2 after registration based on application by PhD scholar and finalized by DAC, after verifying eligibility of proposed Advisor and no. of candidates currently supervising.</p>

4.2	No clause on implications if Supervisor goes on Lien/Deputation.		<p>In case a <b>Advisor goes on Lien/Deputation</b> for a long period of <b>at least 12 months or 1 academic year</b>, the <b>DAC shall appoint another Advisor from among the members of faculty within the department, and if not available, from allied departments.</b> To be approved by Senate Chairman. All eligibility criteria mentioned in 4.1 shall apply while selecting the new advisor.</p> <p><b>The original Advisor shall continue as Co-Advisor, unless the candidate is within 6 months of submission of thesis, in which case, the original Advisor may continue, along with appointment of a co-Advisor from within SPA Bhopal.</b></p>
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## 11. Stages and Timeline of Doctoral Research

Sl. No.	Clause in Existing Ordinance	Proposed in Amended Ordinances 2020, SPA Bhopal
11.1	Allocation of Subjects by DRC in Semester 1	Allocation of Subjects by DRC Chairman & DAC at the beginning of Academic Session. To be ratified by DRC in the earliest meeting and approved by Senate Chairman.
11.2	<p>Completion of Coursework consisting of 3 subjects, within 1 year of registering.</p> <p>Presentation of 2 seminars and Topic Finalisation seminar within 2 years of registration. No seminar permitted to be presented in semester 1.</p>	<p>Completion of course work consisting of a maximum of 3 subjects, within a <b>minimum of 1 semester and a maximum of 2 semesters.</b></p> <p>Completion of <b>2 seminar presentations and topic finalization in a minimum of 2 consecutive semesters and a maximum of 3 consecutive semesters.</b></p> <p><b>Minimum credits to be taken: 12.</b> (4 credits for RM and 2 seminars each)</p> <p><b>Maximum credits to be taken: 18</b> (4 credits for RM, and the 2 Seminars, and 3 credits each for 2 electives).</p> <p>i. <b>Research Methodology shall be a compulsory subject for all candidates.</b></p> <p>ii. The subjects can be taken from those offered at SPA Bhopal or from partner institutes and e-</p>

		<p>courses as permitted by the Credit Transfer Policy 2020. In case a DRC finds a candidate to be exceptional, based on previous academic and/or professional performance, the DRC may suggest to DAC to waive off one or more of the Electives. RM to remain a compulsory subject.</p> <p>iii. Seminar topics shall be finalized by the DRC in Semester 1 and 2.</p> <p>iv. Seminars presented only at SPA Bhopal and not in any partner institute (if a student goes on exchange) will be considered for the coursework requirement of the PhD programme.</p>
11.3		Progress Review Presentation to be presented every semester to DRC members. In case of unsatisfactory progress review, a mid-term review may be held for improvement through Physical/Virtual Platform.
11.4		Pre-thesis Submission Seminar presentation to be conducted in a minimum of 5 semesters and a maximum of 8 semesters from time of registration.
11.5		<p>i. <b>Draft Report to be submitted to Advisor within 3 months of Pre-Thesis Submission seminar.</b></p> <p>ii. <b>Draft Report incorporating Advisor's</b></p>

		<p>comments to be submitted to Dean (A) within 6 months of Pre-Thesis Submission seminar.</p> <p>iii. DAC to appoint a 2 member review committee along with Advisor, for certifying that the report is fit for external examination.</p> <p>iv. Review Committee to give report within 1 month of submission.</p> <p>v. Candidate to incorporate changes suggested by review committee.</p>
11.6		Final report to be submitted by the PhD scholar for external evaluation within maximum time period of 10 semesters (5 years).

## 12. Evaluation and Assessment

Sl. No.	Clause in Existing Ordinance	Draft UGC Regulations	Proposed in Amended Ordinances 2020, SPA Bhopal
12.1	The Dissertation Report shall be sent for external evaluation to <b>three</b> external examiners, one of whom must be from abroad.	The PhD Thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and <b>at least two</b> external examiners, who are experts in the field and not in employment of the Institution. Examiners should be academics with good record of scholarly publications in the field.	<p>The Thesis Report shall be sent for external evaluation to <b>two</b> external <b>examiners</b>, one of whom must be from abroad.</p> <p><b>Qualifications of Examiner:</b></p> <ul style="list-style-type: none"> <li>i. The examiner should be an academic with a PhD degree with research in the field of work of the Thesis Report being sent for evaluation.</li> <li>OR</li> <li>ii. A professional with work in the field of the Thesis report being sent, with an experience of a minimum of 5 years post PhD.</li> <li>iii. A brief CV of the proposed external with recent publications in the last 5 years shall be submitted by the DRC when suggesting panel members to Senate Chairman.</li> <li>iv. An examiner <b>cannot be sent more than 1</b> Thesis Report at the same time.</li> <li>v. There shall be <b>a gap of at least 2 academic years</b>, before the next Thesis is sent to the same examiner.</li> </ul>

12.2	DRC shall recommend the panel of external examiners (separately for Indian and foreign) for evaluation of the dissertation report to the Chairperson, Senate through DoAA. DRC shall satisfy itself about the eminence of the external examiners before recommending the panel to the Chairperson, Senate.		DRC shall recommend, through Dean (A), the panel of external examiners <b>(separately for 3 Indian and 2 foreign experts) not in consultation with the Advisor</b> , for evaluation of the Thesis report to the Chairperson, Senate.  DRC shall satisfy itself about the eminence and qualifications of each of the external examiners for a concerned Thesis, before recommending the panel to the Chairperson, Senate. A brief CV as detailed in 12.1 to be submitted.  Judicious selection of examiners, based on the expertise of each of them in the given field of the Thesis report is to be ensured.
12.3	The Chairperson, Senate may nominate any other external examiner(s) other than the ones from the panel submitted to him/her.		The Chairperson, Senate may nominate any other external examiner(s) other than the ones from the panel submitted to him/her, meeting all the criteria mentioned above.
12.4	External examiners are expected to send their evaluation report in prescribed format (Annexure)		External examiners are expected to send their evaluation report in prescribed format within <b>two months, extendable by one</b>

	within three months from the date of receipt of the dissertation report to Dean (A).		<b>more month</b> , from the date of receipt of the Thesis report to Dean (A).
<b>12.5</b>	<ul style="list-style-type: none"> <li>• <b>If two</b> of the external examiners <b>recommend the dissertation for award of PhD degree</b>, the concerned DRC shall propose the conducting of viva voce to the Chairperson, Senate through the DoAA.</li> <li>• <b>If two</b> of the external examiners suggest <b>resubmission of the dissertation report</b>, the PhD scholar shall do the needful within a time period stipulated by the DRC. The DRC shall ask the concerned SRRC to ensure that the PhD scholar has complied with all the suggestions made by the external examiners and shall ensure the resubmission of the dissertation report.</li> </ul> <p>The DoAA shall send the revised dissertation report to the two external examiners as required</p>	<p>The public viva-voce of the research scholar to defend the thesis shall be conducted only if the evaluation reports of the external examiners on the thesis recommend acceptance. If one of the evaluation reports of the external examiners recommends rejection, the Institute shall send the thesis to another external examiner out of the approved panel of examiners and the viva-voce shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of</p>	<p><b>Evaluation Procedure</b></p> <ul style="list-style-type: none"> <li>• <b>If both/all</b> the external examiners <b>recommend the Thesis for award of PhD degree</b>, (annexure enclosed for reference) i.e. grading from A to B (a) or (b), the concerned DRC shall propose the conducting of viva voce to the Chairperson, Senate through the Dean (A).</li> <li>• <b>If one or two</b> of the external examiners suggest <b>resubmission of the Thesis report</b>, (grade B (iii) in annexure) the PhD scholar shall do the needful under the guidance of his/her Advisor, within a <b>maximum period of 3 months</b> from the date of receiving the report and resubmit the report to the office of Dean (A) for sending back to the examiner once again.</li> <li>• In case the first examiner refuses to re-</li> </ul>

	and request them to send their evaluation reports within two months.	degree.	<p>examine the thesis again, it may be sent to a new examiner from the panel or as recommended by the Senate Chairman.</p> <ul style="list-style-type: none"> <li>• If any one of the external examiners <b>does not recommend the thesis report</b>, (grade C in annexure enclosed), <b>the report will be sent for re-examination to another examiner</b> out of the panel of approved examiners or recommended by Senate Chairman, notwithstanding the report of the other examiner/s.</li> <li>• <b>If the report of the latest examiner is satisfactory, the Thesis Defense shall be held.</b> If the report of the latest examiner is unsatisfactory, the thesis shall not be recommended for Thesis Defense and the research scholar shall be declared ineligible for the award of degree.</li> </ul>
<b>12.6</b>	No clause on return of PhD report without evaluating		In case a report has been sent to an examiner with his/her consent on the basis of the abstract shared, but on examining

			the contents, the examiner does not agree/is unable to send an evaluation report for any valid reason substantiated in writing, the report will be sent to another examiner out of the panel of approved experts, or a new examiner approved by Chairman, Senate, after judiciously ascertaining that the expert selected is from the specific domain of work undertaken.
12.7	<ul style="list-style-type: none"> <li>On receipt of the affirmative concurrence of external examiners, the viva voce shall be conducted as early as possible.</li> <li>In a viva voce, a PhD scholar shall make an oral presentation on his/her dissertation. The presentation is open to all.</li> <li>The PhD scholar is expected to answer the queries of the examiners satisfactorily.</li> <li>In case of difference of opinion amongst the members of Viva voce Board as regards the performance of the PhD scholar, majority decision shall prevail.</li> <li>In the event of complete</li> </ul>	<ul style="list-style-type: none"> <li></li> </ul>	<ul style="list-style-type: none"> <li>On receipt of the affirmative concurrence of all external examiners, the Thesis Defense Presentation shall be conducted as early as possible.</li> <li>In the Defense Presentation, a PhD scholar shall make an oral presentation as a defense of his/her Thesis .</li> <li>The presentation is open to all.</li> <li>The PhD scholar is expected to answer the queries of the examiners satisfactorily.</li> <li><b>The Thesis Defense Presentation may be treated as a case of (i) presenting seminal findings, (ii) clarifying all queries of examiners and (ii) a public dissemination event for validating the contribution to the body of knowledge.</b></li> <li><b>It shall thus not be treated as</b></li> </ul>

	<p>unanimity towards the unsatisfactory performance, the PhD scholar will be asked to reappear for another viva voce at a later date (not earlier than a month and not later than three months from the date of first viva voce).</p>		<p><b>another examination and thus marking the scholar for satisfactory/unsatisfactory performance shall not be undertaken by the panel.</b></p> <ul style="list-style-type: none"> <li>• However it is to be an essential component of the process of evaluation and the process of evaluation will be complete only after conduct of Final defense.</li> </ul>
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**The Annexure for External Examiner's Evaluation Report:**

**School of Planning and Architecture**  
(An Institute of National Importance, MHRD, Govt. of India)  
Neelbad Road, Bhauri, Bhopal – 462 030 (M.P.), India

**Annexure-**

**External Examiner's Evaluation Report**

1. Title of the Dissertation: \_\_\_\_\_

2. Department: \_\_\_\_\_

3. Details of External examiner  
Name: \_\_\_\_\_

Designation and Institution: \_\_\_\_\_

Address/email: \_\_\_\_\_

4. Recommendations of the External Examiner (kindly indicate your recommendation by checking the appropriate box)

A.	I recommend acceptance of the dissertation in its present form	
B.	I recommend acceptance of dissertation subject to the candidate	
	(i) furnishing satisfactory clarifications to the queries during the viva voce examination	
	(ii) incorporating the corrections indicated in the evaluation report, but the revised Thesis report need not be sent	
	(iii) incorporating the corrections indicated in the evaluation report and re-submission of the revised Thesis report	
C.	I do not recommend acceptance of the Thesis for the reasons furnished in the evaluation report	

I enclose herewith the evaluation report.

(Signature of the External Examiner)

### **Proposed Key Modifications in a few Annexures**

- Cover page and Front piece of the Copies of reports shall not contain the names of the candidate or the Advisor. Only Thesis Title and Department is to be mentioned. Every where in the report/ annexures/ attached publications, the name of the candidate and Advisor/s shall be removed.
- On the copies of the final report presented at the time of Thesis Defense Presentation, the names of Candidate and Advisor shall appear.
- Signatories of the Report shall only be : (i) Advisor and (ii) Head of Department.\*

*\* This may be in effect in retrospect for a candidate who was awarded a degree in 2019 as the same was communicated by Senate Chairman but not incorporated in the earlier amendment in 2018.*

List of annexures with minor modifications in sequence and content reflecting the changes proposed in the amended ordinances and regulations 2020.

- The modifications proposed will be merged with the content of the Regulations in the Ordinances of Doctoral Programme 2018, which have remained unchanged, but sequence will be changed and the regulations re-numbered according to the proposed contents in this document, having sub-sections.
- Annexures will be included in the Ordinance and Regulations for Doctoral Programmes (Amended) 2020.